## QUESTIONNAIRE FOR UNITED STATES DEPARTMENT OF AGRICULTURE NOMINEES BIOGRAPHICAL INFORMATION (PUBLIC)

1. Full name, (include any former names used), and City and State where you currently reside.

Thomas C. Dorr

Alexandria, VA

2. Date and place of birth

Cherokee, Iowa 27 June 46

3. <u>Marital Status</u> (include maiden name of wife or husband's name) List spouse's occupation, employer's name and business address(es).

Ann Louise Allison-Dorr (Ann L. Dorr

4 <u>Education</u>: List each college and graduate or professional school you have attended, including dates of attendance, degrees received, and dates degrees were granted.

Morningside College Sioux City, Iowa 1964 - 1969 B.S. June 1969

5. Employment Record: List (by year) all business or professional corporations, companies, firms, or other enterprises, partnerships, institutions and organizations, nonprofit or otherwise, including farms, with which you were connected as an officer, director, partner, proprietor, or employee since graduation from college; include a title and brief job description.

August 9, 2002 - Present Under Secretary of Agriculture for Rural Development USDA. Appointed by President George W. Bush

May 2001 - August 8, 2002 Consultant to USDA Rural Development

2000 Chairman, Administrative Council, Grace United Methodist Church - Marcus, Iowa

- October 2000 February 2001 Director, Donlar/Biomune Systems Inc., Bedford Park, Il. The firm specializes in the development and manufacture of bio-degradable polymers with applications in the energy and agricultural production sectors.
- 1976 2001 President, Dorr's Pine Grove Farm Company, Marcus, Iowa. A farm operating company involved in the rental, management, and custom farming of family and non-family owned farm properties.
- 1980 2001 President, PGF Seeds Incorporated, Marcus, Iowa. A personally held corporation whose primary business is the contract production of soybean seed and operating an Iowa state licensed grain elevator and warehouse. The company also retails soybean seed.
- 1972 1976 Employee, H & M Dorr Farms, Marcus, Iowa. Returned as employee of my Father and Uncle's family farming operation. Responsible for day to day crop and livestock operations.
- 1969 1972 District Manager for the Measurement Research Center, Iowa City, Iowa. Responsible for sample selection and site administration of the testing programs for the National Assessment of Educational Progress effort (NAEP) in select regions of the country. This program continues today and is being administered by the Education Commission of the States.
- 1993 2002 General Manager and Partner of Dorr Swine, LLC. This is a family owned limited liability company which owns a 3600 head swine contract finishing facility.
- 1995 2002 General Manager and Partner of Dorrs' North Pork LLC. This is a family owned limited liability company which owns a 4000 head swine finishing facility.
- 1990 1998 Officer and Director Dorr Incorporated. A family owned farm corporation which owns and operates farm land in the Marcus, Iowa area.
- 1998 2002 President of Dorr Incorporated. A family owned farm corporation which owns and operates farm land in the Marcus, Iowa area.
- 1992 1998 Director Federal Reserve Bank of Chicago.

  Committee assignments included a term as Chairman of the Personnel Committee and serving on the Executive Committee. As board members, our primary responsibilities included bank governance and the establishment of bi-weekly monetary policy recommendations to the Board of Governors and the Federal Open Market Committee.

- 1991 1997 Member Iowa Board of Regents. Appointed by the Governor of Iowa. The board is responsible for establishing policy and the administration of the five Regents institutions of Iowa, which included administering an annual budget in excess of \$ 2.0 billion. I held a variety of committee assignments, which included a term as Chairman of the Banking Committee.
- 1990 1991 Director Wallace Technology Transfer Foundation. Appointed by the Governor of Iowa to a foundation established by the Iowa State Legislature to formulate and implement plans and programs for development and transfer of technology to facilitate commercialization by the private sector.
- 1990 1992 President & Director, Founding Member & Organizer, Heartland Care Center, Marcus, Iowa. Organizer, founding member and first elected President of the Board of Directors of Heartland Care Center, a \$2.8 million privately funded long term care facility in Marcus. Facility was completed and opened in July 1995.
- 1988 1991 Director, Founding Member & Organizer, Cherokee County Area Economic Development Corporation Cherokee, Iowa. A non-profit corporation whose mission is to combine community and rural resources into one focused economic development effort for the county.
- 1987 President & Director, Founding Member & Organizer, Chicago Central and Pacific Shippers Association, Aurelia, IA. An association organized with the expressed purpose of providing support and improving communications to the management of a struggling railroad while retaining the use and viability of a major regional rail line. The effort was successful and the organization disbanded.
- 1986 1988 Director, Marcus Economic Development Corporation Marcus, Iowa. A local non-profit volunteer organization whose mission is to facilitate local economic growth. It is privately funded.
- 1983 1986 Member, Cherokee County Board of Review Cherokee, Iowa. Appointed by the Cherokee County Board of Supervisors. I served as one of a three member appointed panel for a three year term, as provided by Iowa law, to adjudicate taxpayer property tax assessment appeals.
- 1978 1986 Director and Officer, National Corn Growers
  Association St. Louis, Mo. A farmer commodity organization
  concerned with the development of farm economic and political
  policies at national and international levels. Elected to board
  by peers from Iowa.
- 1976 1985 Director and Officer, Iowa Corn Growers Association

- Des Moines, IA. A farmer commodity organization concerned with the development of farm economic and political policies at national and international levels. A member and key architect of the implementation of the first statewide corn check off that was passed into law and instituted within the United States.
- 6. <u>Military Service</u>: Have you had any military service? If so, give particulars, including the dates, branch of service, rank or rate, serial number and type of discharge received.
  - Yes. July 1966 May 1968, Iowa Air National Guard, 185<sup>th</sup> Sioux City, Iowa. Airman, forget specific rank. Don't recall serial number, however SSN is - Honorable Discharge, May 1968.
- 7. Government Service: State (chronologically) your government service or public offices you have held, including the terms of service grade levels and whether such positions were elected or appointed.
  - August 9, 2002 to present. Under Secretary of Agriculture for Rural Development. Appointed by President George W. Bush.
  - May 2001 to August 8, 2002. Consultant to USDA's Office of Rural Development. Appointed by President George W. Bush.
- 8. <u>Honors and Awards</u>: List any scholarships, fellowships, honorary degrees, and honorary society memberships that you received and believe would be of interest to the Committee.

## None

9. Other Memberships: List all organizations to which you belong, excluding religious organizations.

National Corn Growers Association, Iowa Corn Growers Association, Iowa Soybean Association, Iowa Farm Bureau Federation, Agi-Business Association of Iowa, Marcus, Iowa Chamber of Commerce, Republican Party of Iowa, Cherokee County Rural Water District, Iowa Lakes Electric Cooperative, First Farmers Coop Association, Cherokee, Iowa, Farmers Cooperative Society, Sioux Center, Iowa,

10. <u>Published Writings</u>: List the titles, publishers, and dates of books, articles, reports, or other published materials (including published speeches) you have written. Please include on this list published materials on which you are listed as the principal editor. It would be helpful to the Committee if you could provide one copy of all published material that may not be readily available. Also, to the maximum extent practicable, please supply a copy of all unpublished speeches you made during the past five years on <u>issues involving</u> agriculture, nutrition, forestry or

commodity futures policy or related matters.

No

11. <u>Health</u>: What is the present state of your health? Excellent

Have you severed all connections with your immediate past private sector employers, business firms, associations, and/or organizations?

Yes

List sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock options, incomplete contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients, or customers.

Dorr's North Pork LLC - Limited partnership income estimated to be \$10,000 per year

Do you, or does any partnership or closely held corporation in which you have an interest, own or operate a farm or ranch? (If yes, please give a brief description including location, size and type of operation.)

the following

Thomas C. Dorr 250 acres of land in Cherokee, County, Iowa Dorr Incorporated 265 acres of land in Cherokee, County, Iowa Dorrs' North Pork, LC10 acres of land in Cherokee, County, Iowa

Thomas C. Dorr's and Dorr Incorporated's property is farmland on which we produce corn and soybeans. Dorr Inc. is a family farm corporation founded in the late 1940's. I own less than 10% of the stock.

Dorrs' North Pork is a contract swine finishing unit. It is owned in Limited Liability (partnership) arrangement with several Brothers, my Mother, and one cousin.

Have you, or any partnership or closely held corporation in which you have an interest, ever participated in Federal commodity price support programs? (If yes, provide all details including amounts of direct government payments and loans received or forfeited by crop and farm, etc. during the past five years.)

See attached Item 'Addendum 4a'

Have you, or any partnership or closely held corporation in which you have an interest, ever received a direct or guaranteed loan from or cosigned a note to the Rural Business-Cooperative Service, Rural Housing Service, the Rural Utilities Service or their predecessor agencies, the Farmers Home Administration, the Rural Development

Administration, the Rural Housing and Cooperative Development Service or the Rural Electrification Administration? (If yes, give details of any such loan activity during the past 5 years.)

Yes, but not in the last five years

6. Have you, or any partnership or closely held corporation in which you have an interest, ever received payments for crop losses from the Federal Crop Insurance program? (If yes, give details.)

Yes

1993 - Due to excess rain crop production was impeded and Dorr's Pine Grove Farm Co. and I, personally, received indemnity payments from crop insurance coverage provided by our Multi-Peril Crop Insurance policies

2000 - Due to drought crop production was impeded and Dorr's Pine Grove Farm Company, Dorr Inc., and I, personally, received indemnity payments from crop insurance coverage provided by our CRC 80 insurance policies.

If confirmed, do you have any plans, commitments, or agreements to pursue outside employment or engage in any business or vocation, with or without compensation, during your service with the government? (If so, explain.)

No

8. Do you have any plans to resume employment, affiliation, or practice with your previous employers, business firms, associations, or organizations after completing government service? (If yes, give details.)

Yes, return to my farming and grain business operations.

9. Has anyone made a commitment to employ you or retain your services in any capacity after you leave government service? (If yes, please specify.)

No

10. Identify all investments, obligations, liabilities, or other relationships which involve potential conflicts of interest in the position to which you have been nominated.

As to my nomination for Under Secretary for Rural Development, I have modest equity interests in a number of publicly traded entities, which could conceivably be a party to, or be directly and substantially affected by, a particular matter which could arise within USDA and within in the purview of the Under Secretary of Agriculture for Rural Development. Those entities are: (1) McLeod USA; (2) Citigroup Inc.; (3) Farmers Co-op Society, Sioux

Center, Iowa

In anticipation of receiving the traditional companion nomination, that of board member of the Commodity Credit Corporation, I own Dorr's Pine Grove Farm Company. This company was formerly an operating company and now owns a small commercial building and a few pieces of equipment.

I also have minority interests in Dorr Inc., a family farming corporation, three family trusts established by my deceased Father, and Dorr's North Pork LLC, which is a family owned limited liability company involved in contract swine feeding operations.

I have outstanding loan arrangements with the following lending institutions: Farmers State Bank, Marcus, Iowa; Northwestern State Bank, Orange City, Iowa.

11 Have you ever received a government guaranteed student oan? If so, has it been repaid?

Yes, it has been fully repaid

12 If confirmed, explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

As Under Secretary of Agriculture for Rural Development, I will disqualify myself from participating personally and substantially in any particular matters, which may arise within USDA during my service that would have a direct and predictable effect on the named entities.

As a Board Member of the Commodity Credit Corporation it is my intent to do the following with regard to Dorr's Pine Grove Farm Company. The farm operating company's machinery has largely been liquidated; I have resigned as an officer and director of the company; I have terminated completely the company's farming activities. The leases on the family lands have been terminated and the property has been leased to non-family farmers from within the community. The leases with the new operators are of a long-term nature and not year to year.

PGF Seeds, Incorporated was merged into Dorr's Pine Grove Farm Company in June of 2002. It no longer maintains either an Iowa State Grain Dealers and Warehouse License or Commodity Credit Corporation issued Uniform Grain Storage Agreement. They have been terminated. The grain storage facilities have been leased to one of the farmers leasing farm ground from my family and me. The only returns from my ownership interest therein are derived from lease payments, which are based upon the value of the facility, and bear no relationship to the market for the agricultural crops stored in such facility.

Dorr Swine LLC is a limited liability corporation. Since the last

filing it has been liquidated and the final tax return filed in 2002.

Dorrs' North Pork LLC is a limited liability corporation which owns approximately 10 acres of land also located in Cherokee County, Iowa, in which I have a 21% ownership interest and the balance of which is owned by my mother, three brothers, and a It is a feed-to-finish hog facility which has a capacity of approximately 4000 hogs, and which is currently operated by me and other members of my family. Although still a partner in the organization, I have resigned from all managerial and operational The active management of the corporation's activities has been undertaken by one of my brothers who is presently engaged in carrying out the corporations business activities under the terms of a verbal agreement. During my service as an official of USDA, my only income from my ownership interest in Dorrs' North Pork will be a receipt of my proportionate share of the corporation's I will disqualify myself from participating personally and substantially in any particular matter that will have a direct and predictable effect on Dorrs' North Pork LLC.

Dorr, Inc., is another family corporation in which I have an ownership interest, and am an officer and director. Dorr, Inc., owns approximately 280 acres of land as well as cash and securities, and I enjoy approximately a less than 10% ownership interest in the corporation. I have resigned as an officer and director. As Dorr, Inc., carries out no farming or other business operations, and receives no farm-related income but for cash rentals received in return for the lease of its land for farming operations as described above, my continued ownership interest, and my receipt of my share of the rental income received, will result in no conflict of interest with the duties I will perform if confirmed to serve in the positions for which I have been nominated. To assure that no conflict of interest will exist, in the event I am confirmed, I will, disqualify myself from participating personally and substantially in any particular matter that will have a direct and predictable effect on Dorr, Inc. Additionally, I will disqualify myself from participating on any particular matter in which the lessees of the land owned by Dorr, Inc. is, or represents, a party.

As demonstrated by my Form SF 278, Public Financial Disclosure Report, I serve as a trustee of, and enjoy future beneficial interests in the assets of, several family trusts. Those include three trusts - the MGDIF Trust, the MGDR Trust, and the MLDQTP Trust - each of which has been identified above, as well as the Melvin G. Dorr Irrevocable Trust. However, but for (1) the described ownership interests which some of these trusts hold in the business entities discussed above; and (2) their ownership interests in certain of the agricultural lands leased for farming purposes, the trusts themselves conduct no business activities which could give rise to any conflict of interest with the duties I will perform as an official of USDA. In order to avoid a

potential conflict with respect to my financial interests in these trusts, I have resigned from all of my positions as a trustee of, and will abstain from all management responsibilities for, the identified trusts. Additionally, I will disqualify myself from participating personally and substantially in any particular matter that will have a direct and predictable effect on the Dorr Family Trusts.

By virtue of the outstanding loans I have with certain lending institutions, if confirmed I will have covered relationships with Farmers State Bank, Marcus, Iowa; Northwestern State Bank, Orange City, Iowa. In the event I am confirmed to serve as an official of USDA, I will therefore, disqualify myself from participating in any particular matters in which these lending institutions are, or represent, parties until such time as my debts to them have been extinguished, unless I receive a written waiver pursuant to section 2635.502(d).



## UNITED STATES DEPARTMENT OF AGRICULTURE

Office of the Assistant Secretary

for Administration

Honorable Thad Cochran

Office of Ethics

Chairman

1400 Independence

Committee on Agriculture, Nutrition, and Forestry – SR328A

Avenue SW

United States Senate

Washington, DC

20250-0122

Washington, D.C. 20510

March 21, 2003

Dear Mr. Chairman:

Enclosed are the original and five copies of the questionnaire and net worth statement of Mr. Thomas C. Dorr, nominee for the position of Under Secretary of Agriculture for Rural Development. Also enclosed is a copy of Mr. Dorr's current Form SF-278, Public Financial Disclosure Report. If you have any questions about the enclosure, please contact us at (202) 720-2251

Sincerely,

Raymond J. Sheehan

Director

Office of Ethics

**Enclosures** 

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

SF278 (Rev. 03/2000)

5 C.F.R Part 2634

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SF278 (Rev. 03/2000)
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mark the other higher categ DORR, Thomas C. U.S. Office of Government Ethics Reporting Individual's Name Schwab Roth IRA: Vanguard GNMA Fund - Sold 10/17/2002 This category applies only if the asset/income is solely that of the filer's spouse or dependent children. Schwab Roth IRA: Intel Corp Purchased 11-27-2002 Schwab Roth IRA: Pfizer Schwab Roth IRA: Oakmark Fund Purchased 10-21-02 Market Fund Purchased 2/22/2002 Schwab Roth IRA: Schwab Money Fund Schwab Roth IRA: PIMCO Total Return Purchased 10/21/2002 Purchased 3/15/2002 Bond Fund Schwab Roth IRA: Strong High Yield Schwab Roth IRA: Morgan Stanley Sold 9-23-2002 Schwab Roth IRA: Exxon Mobil Corp Purchased 4/22/2002 Trust High Yield Fund Assets and Income BLOCK A × × None (or less than \$1,001) × × × \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 + \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) × Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent childr **Excepted Trust** Qualified Trust other entry is needed in Block C for that item. Dividends Income: type and amount. If "None (or less than \$201)" is checked, no Rent and Royalties Interest Capital Gains × × None (or less than \$201) × × \$201 - \$1,000 × × \$1,001 - \$2,500 \$2,501 - \$5,000 × \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Amount) Actual ω Date
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**\_** u nark the other higher categ DORR, Thomas C Reporting Individual's Name J.S. Office of Government Ethics Ins category applies only if the assevincome is solely that of the filer's spouse or dependent children. If the assevincome is either that of the filer or jointly held by the filer with the spouse or dependent children. Sold 9/23/2002. No capital gains. Schwab Roth IRA: Exxon Mobil Corp Reserves (Money Market) Schwab One Margin Account - Oakmark Equity, Inc. - Sold 9/23/02; Purchased GNMA Fund - Sold 9/23/2002 7/31/02. No capital gains. Schwab Access Account: Sch Gov't Cash Purchased 4/23/02 Schwab One Margin Account - Vanguard Schwab One Margin Account - Cash Securities Corp of Iowa Simple IRA: No transactions. Value declined Roth IRA: McLeod USA CL A Sale 1/24/02. No dividends were paid. EMC Corp Mass -- Purchase 9/26/01-Microsoft Corp - Sold 9/23/2002 No dividends were paid Securities Corp of Iowa Roth IRA: Securities Corp of Iowa Roth IRA: Johnson & Johnson - Sold 9/23/2002 Assets and Income BLOCK A × × × × × None (or less than \$1,001) × × \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Valuation of Assets reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund **Excepted Trust** Qualified Trust × × Dividends other entry is needed in Block C for that item. Income: type and amount. If "None (or less than \$201)" is checked, no Rent and Royalties Interest × Capital Gains × × × None (or less than \$201) × × × \$201 - \$1,000 × \$1,001 - \$2,500 \$2,501 - \$5,000 × \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,000 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Amount Actual C Date (Mo., Dav. Yr.) Honoraria

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DORR, Thomas C. Reporting Individual's Name Fund This category applies only if the asset/income is solely that of the filer's spouse or dependent children. Purchased 3/26/02; all sold 9/23/02 Schwab Roth IRA; Oakmark Fund Purchased 11/27/02. Schwab Roth IRA: Johnson & Johnson Purchased 11/27/02. Purchased 2/4/03. Purchased 4/22/02 Schwab Roth IRA: Microsoft Corp Purchased 10/22/2002 Schwab Roth IRA: Citigroup, Inc. Fund - Purchased 10/22/2002 Schwab Roth IRA: Vanguard Health Care Schwab Roth IRA: Vanguard GNMA Fund Schwab Roth IRA: Northeast Investors Purchased 3/15/02 & 4/22/02 Purchased 3/26/2002; all sold 9/23/02 Purchased 4/23/02;all sold 10/17/02 Purchased 3/15/02 Schwab Roth IRA: Strong High Yield Bond Schwab Roth IRA: PIMCO Total Return Trust - Purchased 10/21/2002 Purchased 4/23/02;all sold 9/23/02. Assets and Income BLOCK A None (or less than \$1,001) × × × × × × \$1,001 - \$15,000 × × × \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed) × × × × × × Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent childre **Excepted Trust** Qualified Trust other entry is needed in Block C for that item. Dividends Income: type and amount. If 'None (or less than \$201)" is checked, Rent and Royalties Interest Capital Gains × × × × None (or less than \$201) \$201 - \$1,000 × × \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Actual Amount) no 6 Date
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SF278 (Rev. 03/2000)
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mark the other higher categ (C) 00 S (n o 50 0 4 (0) SOW (S N 0 -DORR, Thomas C. This category applies only if the assertingome is solely that of the filer's spouse or dependent children. Microsoft Corp Sold 2/4/02; Purchased 9/26/01. Securities Corp of Iowa Roth IRA: Illinois Tool Works Exxon Mobil Corp. Securities Corp of Iowa Roth IRA: EMC Corp Mass Bristol Myers Squibb Co. Market Fund Sold 2/4/02, Capital gains. Securities Corp of Iowa Roth IRA Securities Corp of Iowa Roth IRA: Securities Corp of Iowa Roth IRA: Purchased 10/21/02 Schwab Roth IRA: Sysco Corp Schwab Roth IRA: Schwab Money Sold 2/4/02. Sold 1/4/02. Sold 1/4/02; Purchased 9/26/01 Tennessee Farmers Assurance Co Assets and Income BLOCK A × × × × × None (or less than \$1,001) × × \$1,001 - \$15,000 × \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed) Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children **Excepted Trust** Qualified Trust × Dividends other entry is needed in Block C for that item. Income: type and amount. Rent and Royalties Interest × × × Capital Gains × None (or less than \$201) × \$201 - \$1,000 × \$1,001 - \$2,500 \$2,501 - \$5,000 If "None (or less than \$201)" is checked, no × \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Actual Amount) V Date Mo., Day, Yr.) Only if Honoraria

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**(**3 ∞ S (C) (C) 00 0 4 0 00 0 No capital gains. Sold 9/23/2002; Purchased 4/22/02 Schwab Roth: Washington Mutual Sold 9/23/2002; Purchased 4/22/02 Schwab Roth: Pfizer, Inc. Sold 9/23/2002; Purchased 4/22/02 Schwab Roth: Genentech, Inc. New Buy 1/19/00 & 1/31/01; all sold 2/4/02 Sec. Corp. of IA Roth: Citigroup, Inc. Sold 9/23/2002; Purchased 4/22/02 No capital gains Schwab Roth: Amgen Inc. Group. Sell 9/23/02; Purchase 4/22/02 No capital gains. No capital gains. Schwab Roth:American International No capital gains. No capital gains. Spouse - IRAs None Assets and Income × × × × × × None (or less than \$1,001)

5 C.F.R Part 2634 SF278 (Rev. 03/2000)

DORR, Thomas C Reporting Individual's Name This category applies only if the asset/income is solely that of the filer's spouse or dependent children. \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent childr **Excepted Trust** Qualified Trust other entry is needed in Block C for that item Dividends Income: type and amount. If "None (or less than \$201)" is checked, no Rent and Royalties Interest Capital Gains × × × × None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Amount Actual œ Mo., Dav. Honoraria

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Reporting Individual's Name	SCHEDULE	ULE A continued		Page Number
DORR, Thomas C.	(Us	if needed)		
Assets and Income	Valuation of Assets at close of reporting period	Income: type and amount. If "None (or less other entry is needed in Block C for that item.	Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	" is checked,
BLOCK A	BLOCKB		BLOCK C	
		Туре	Amount	
	(or less than \$1,001)  1 - \$15,000  01 - \$100,000  001 - \$250,000  001 - \$250,000  001 - \$500,000  001 - \$5,000,000  \$1,000,000 *  0,001 - \$5,000,000  00,001 - \$50,000,000  \$50,000,000  ated Investment Fund	oted Trust fied Trust ends and Royalties est al Gains for less than \$201) \$1,000 - \$2,500	- \$5,000 - \$15,000 01 - \$50,000 01 - \$1,000,000 51,000,000* 0,001 - \$5,000,000	Other Income (Specify Type & Actual Amount)
None Melvin G. Dorr Irrevocable Family Trust	3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	
2Morgan Stanley DW & Co		×		\$3,500 to TCD
	*	×		
3Citigroup, Inc. Purchased 1/4/02.	×	×		
General Electric Co     Purchased 1/4/02.	×	×		
5Home Depot, Inc Purchased 1/4/02.	×	×		
eIntel Corp	×	×		
7Medtronic, Inc Purchased 1/4/02.	×	×		
8Merrill Lynch & Co Purchased 1/4/02.	×	×		
9 Millenium Pharms	×	×		

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DORR, Thomas C Reporting Individual's Name U.S. Office of Government Ethics 251 acres farmland Cherokee County, lowa Cherokee County, Iowa 251 acres farmland This category applies only if the assevincome is solely that of the filer's spouse or dependent children. If the assevincome is either that of the filer or jointly held by the filer with the spouse or dependent children. No CCC payment Cherokee County, Iowa End of Melvin
G. Dorr Irrevocable Family Trust Hog Confinement Building Owned since 1980s Patronage receivable Machine Shop Sioux Center, Iowa Farmers Coop Society Melvin G. Dorr Irrevocable Family Trust (Continued) Cherokee County, Iowa All acreage previously in the trust. Purchased 1/4/02 --Wal-Mart Stores 288 acres of farmland 1 of 6 Beneficiaries Assets and Income BLOCK A None (or less than \$1,001) × × × \$1,001 - \$15,000 × \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period × \$100,001 - \$250,000 at close of × \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed Excepted Investment Fund **Excepted Trust** Qualified Trust Dividends other entry is needed in Block C for that item. Income: type and amount. If "None (or less than \$201)" is checked, no × × Rent and Royalties Interest Capital Gains × × × None (or less than \$201) \$201 - \$1,000 × \$1,001 - \$2,500 × \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C × Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Crop Insurance Settlement Grain Sales \$57,003 \$324.00 Other Income (Specify Type & Actual Amount) 6 Date (Mo., Day. Yr.) Honoraria Only if

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mark the other higher categ This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. Dorr Swine LLC (continued) Dorr Swine LLC (continued) Dorr Swine LLC - Marcus, Iowa Dorr's North Pork LLC (continued) Entity does not now farm.)

Dorr's North Pork LLC - Marcus, lowa Dorr, Inc. - Marcus, Iowa 288 acres of farmland (from item 1 above) Family Farm Corporation — Hog Confinement -- 48% Interest Hog confinement – 21% Interest (NOTE: Proceeds from 2001 crop year, Melvin G. Dorr Irrevocable Family Trust (Continued from Item 3, p.10) 288 acres of farmland ess than 10% interest Assets and Income BLOCK A None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets × × \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000 Excepted Investment Fund **Excepted Trust** Qualified Trust other entry is needed in Block C for that item. Income: type and amount. If "None (or less than \$201)" is checked, no Dividends Rent and Royalties Interest Capital Gains × None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,000 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Grain: \$21,094 CCC Market LLC Income \$7,040 LLC Income Liquidation \$135,028 Return of Equity \$2,000 Other Income (Specify Type & Actual Return of \$12,899 \$20,422 Equity Amount \$996 Gain Date
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SF278 (Rev. 03/2000)

DORR, Thomas C

Reporting Individual's Name

SCHEDULE A continued

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SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics
Reporting Individual's Name

DORR, Thomas C Reporting Individual's Name Dorr's Pine Grove Farm Co Dorr's Pine Grove Farm Co Electronic Data Systems Corp Purchased 11/25/02. Dorr's Pine Grove Farm Co Purchased 11/27/02. Dorr's Pine Grove Farm Co Purchased 11/27/02. Dorr's Pine Grove Farm Co Schwab Value Advantage Money Fund Dorr's Pine Grove Farm Co Dorr's Pine Grove Farm Co-100% int Genentech, Inc New Amgen, Inc Purchased 2/4/03. Vanguard GNMA Fund -Includes Scale House and Bin Site Assets and Income BLOCK A None (or less than \$1,001) × × × \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of × \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* 91,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) × Excepted Investment Fund Excepted Trust Qualified Trust Dividends other entry is needed in Block C for that item. × Income: type and amount. If "None (or less than \$201)" is checked, no × Rent and Royalties Interest Capital Gains × × × None (or less than \$201) × \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 + \$5,000 × \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 000,000,12+100,0012 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Consulting Fee \$7,000 Salary \$12,027.25 Other Income (Specify Type & Actual Amount) 12 Date Mo., Day, Yr.) Honoraria Only if

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This category applies only if the asset/income is solely that of the filer's spouse or dependent children.

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U.S. Office of Government Ethics DORR, Thomas C Reporting Individual's Name Note: Dorr, Inc., property has been cash Corporation no longer owns grain This category applies only if the asset/income is solely that of the filer's spouse or dependent children, Dorr, Inc - 277 acres farmland Note: Held since 8/25/2000. Dorr, Inc Dorr, Inc rented and the corporation does not now warehouse.) (NOTE: Proceeds from 2001 crop year Cherokee County, IA Intel Corp Note: Held since 6/21/99 General Electric Co Dorr, Inc Note; Held since 6/25/1999 Citigroup, Inc. Purchased 5/21/01. American International Group Dorr, Inc Purchased 4/30/01. Dorr, Inc Note: Held since 6/21/1999 Microsoft Corp Dorr, Inc. Adams Express Co Assets and Income BLOCK A None (or less than \$1,001) × × × \$1,001 - \$15,000 × \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed × Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children **Excepted Trust** Qualified Trust Dividends other entry is needed in Block C for that item. Income: type and amount. × Rent and Royalties Interest Capital Gains × None (or less than \$201) × × \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 If "None (or less than \$201)" is checked, no \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 000,000,12 - 100,0012 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Grain Warehouse CCC Market Gain Page Number Grain: \$38,885 Other Income (Specify Type & \$62,335 Amount \$751 Actual 3 Date (Mo., Dav. Yr.) Only if Honoraria

SF278 (Rev. 03/2000) S C.F.R Part 2634 U.S. Office of Government Ethics

mark the other higher categ DORR, Thomas C Reporting Individual's Name This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. -- Dorr Swine LLC - Hog Confinement, -Wells Fargo Money Market Fund No beneficiary interest in the trust. Whiskey Creek Machinery –Exxon Mobil Corp No beneficiary interest in the trust –Applera Corp Com Celera Gen Terminated as trustee 9-1-2002 Melvin G. Dorr Residual Trust (MGDRT) —77 acres of farmland Cattle - No income in 2002. Executor -- Melvin G. Dorr Estate No executor fees in 2002 or 2003 Agilent Technology Margaret L. Dorr QTIP Trust (MLDQTIP) All cattle sold as of 2001 New Entrant Sold 12/19/2001 Terminated as trustee 9-1-2002 Liquidated. Final Equity Distribution. Purchased 1/4/2002. All sold 12/20/2001; Sold 12/19/2001 Adams Express Co 1.7% Interest Assets and Income BLOCK A × × None (or less than \$1,001) × \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period × \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed  $\times \times$ Excepted Investment Fund Excepted Trust Qualified Trust × Dividends other entry is needed in Block C for that item. Income: type and amount. Rent and Royalties Interest Capital Gains × × None (or less than \$201) × \$201 - \$1,000 × \$1,001 - \$2,500 \$2,501 - \$5,000 If "None (or less than \$201)" is checked, no \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 000,000,12 - 100,0012 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 CCC:\$1,188 LLC: \$5,071 Grain: \$8,943 Distribution Final Equity ROE: \$831 Other Income (Specify Type & Actual Amount \$465 7 Date Mo., Day, F.) Honoraria Only if

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mark the other higher categ DORR, Thomas C Reporting Individual's Name U.S. Office of Government Ethics -Merck & Co. Prior Editions Cannot be Used This category applies only if the asset/income is solely that of the filer's spouse or dependent children. Nokia Corp.Sold 9/23/02; capital loss Lufkin Industries -Johnson&Johnson -Illinois Tool Works -Hewlett Packard MGD Residual Trust (continued):
-General Electric —Global Crossing —Sold 12/19/01. –Sold 9/23/02; capital gains -All sold 12/20/01; Bought 12/24/01; -Sold 12/19/01. All sold 12/20/01; Bought 12/24/01; -Sold 2/4/02. Assets and Income BLOCK A × × × × × None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 × Valuation of Assets × × \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund If the asset/meome is either that of the filer or jointly held by the filer with the spouse or dependent children. **Excepted Trust** Qualified Trust × Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. × Dividends Rent and Royalties Interest × × Capital Gains × None (or less than \$201) \$201 - \$1,000 × × \$1,001 - \$2,500 \$2,501 - \$5,000 × \$5,001 - \$15,000 BLOCKC Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount 5 Date Mo., Day. Yr.) Honoraria

SF278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics Reporting Individual's Name

mark the other higher categ DORR, Thomas C This category applies only if the asset/income is solely that of the filer's spouse or dependent children. -SBC Communications -Oakmark Fund -Oakmark Equity, Inc. -Northeast Investors Trust -Home Depot, Inc. -Adams Express Company
-Part Sold 9/23/02; capital loss. Terayon Communications Systems
 Sold 12/19/01. -Pfizer, Inc. -All sold 12/20/01; bought 1/4/02. MGD Residual Trust (continued) Assets and Income BLOCK A × None (or less than \$1,001) × × × \$1,001 - \$15,000 × \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed) × × × Excepted Investment Fund It the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. **Excepted Trust** Qualified Trust × Dividends other entry is needed in Block C for that item. Income: type and amount. Rent and Royaltics Interest Capital Gains × × × None (or less than \$201) \$201 - \$1,000 × \$1,001 - \$2,500 \$2,501 - \$5,000 If "None (or less than \$201)" is checked, no × \$5,001 - \$15,000 BLOCKC Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Actual Amount) 16 Date (Mo., Dav. Yr.) Only if Honoraria

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DORR, Thomas C	SCHEDULE (Use only	EDULE A continued (Use only if needed)		rage runner 17
Assets and Income V	Valuation of Assets at close of reporting period	Income: type and ar other entry is needed	Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	\$201)" is checked, no
a trice out a	Libour d	Type	Amount	0
		Type	Alloum	
None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000	1 - \$100,000 01 - \$250,000 01 - \$500,000 01 - \$1,000,000 1,000,000 * ,001 - \$5,000,000 ,001 - \$25,000,000 0,001 - \$56,000,000 50,000,000 led Investment Fund	allified Trust ridends att and Royalties erest pital Gains te (or less than \$201) 1 - \$1,000	\$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$180,601 - \$1,000,000	000,001 - \$5,000,000 er \$5,000,000 Type & Actual Amount)
Lyung	\$100,0 \$250,0 \$500,0 Over \$ \$1,000 \$5,000 \$25,00 Over \$ Except	Div Res Into Cap Non	5 5 5 5 5	
MGD Residual Trust (continued):	\$100,0 \$250,0 \$500,0 Over \$ \$1,000 \$5,000 \$25,00 Over \$ Except	Div Res Into Cap Non	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	
dual Trust (continued): Value Advantage Money	\$100,0 \$250,0 \$500,0 Over \$ \$1,000 \$55,000 \$25,000 Over \$  Except	Div Res Into Cap Non	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	
MGD Residual Trust (continued): -Schwab Value Advantage Money -Market Fund -Texas Instruments	\$100,0 \$250,0 \$500,0 \$500,0 Over \$ \$1,000 \$55,000 \$255,00 Over \$  Except	Div   Res   Into   Cap     Non	S S S S S S S S S S S S S S S S S S S	
MGD Residual Trust (continued):Schwab Value Advantage MoneyMarket FundTexas InstrumentsVanguard GNMA Fund	S100,0   S250,0   S500,0   Over S   S1,000   S5,000   S25,00   Over S   × × Except	Div   Res   Into   Cap	S S S S S S S S S S S S S S S S S S S	
MGD Residual Trust (continued): -Schwab Value Advantage Money -Market Fund -Texas Instruments -Vanguard GNIMA Fund Dorr Swine, LLC Hog Confinement - 7.3% Interest	S100.0   S250,0   S500.0   Over S   S1,000   S5,000   S25,00   Over S   X X Except	Div   Res   Into   Cap	S S S S S S S S S S S S S S S S S S S	
MGD Residual Trust (continued):  -Schwab Value Advantage Money -Market Fund  -Texas Instruments  -Vanguard GNMA Fund  Dorr Swine, LLC  Hog Confinement - 7.3% Interest  -CTS Prime Cash Series Money Mkt  -225 acres farmland, Cherokee Cty, IA  -225 acres farmland, Cherokee Cty, IA	S100.0   S250,0   S500.0   Over S   S1,000   S25,000   S25,000   S25,000   Cover S   X X X Except	Div   Res   Into   Cap     Non	S S S S S S S S S S S S S S S S S S S	Ov
MGD Residual Trust (continued): -Schwab Value Advantage Money -Market Fund -Texas Instruments -Vanguard GNMA Fund Dorr Swine, LLC Hog Confinement - 7.3% Interest -CTS Prime Cash Series Money Mkt -225 acres farmland, Cherokee Cty, IA -225 acres farmland, Cherokee Cty, IA Zimmer Holdings	S100.0   S250,0   S500.0   Over S   X   S1,000   S25,000   S25,000   Over S   X   X   Except	Div   Res   Into   Cap     X   X   Non	S S S S S S S S S S S S S S S S S S S	Ov
MGD Residual Trust (continued):  -Schwab Value Advantage Money -Market Fund  -Texas Instruments  -Vanguard GNMA Fund  Dorr Swine, LLC Hog Confinement - 7.3% Interest  -CTS Prime Cash Series Money Mkt -225 acres farmland, Cherokee Cty, IA -225 acres farmland, Cherokee Cty, IA  Zimmer Holdings  PGF Seeds, IncMerged on 6/1/02 -with Dorr's Pine Grove Farm Co.	S100,0   S250,0	Div   Res   Into   Cap	S S S S S S S S S S S S S S S S S S S	Ov
MGD Residual Trust (continued): -Schwab Value Advantage Money -Market Fund -Texas Instruments -Vanguard GNMA Fund Dorr Swine, LLC Hog Confinement - 7.3% Interest -CTS Prime Cash Series Money Mkt -225 acres farmland, Cherokee Cty, IA -225 acres farmland, Cherokee Cty, IA -225 acres farmland, Cherokee Cty, IA -275 acres farmland, Cherokee Cty, IA -286 acres farmland, Cherokee Cty, IA -297 Seeds, IncMerged on 6/1/02 -with Dorr's Pine Grove Farm Co.  Donlar Corp Stock has no value.	S100,0   S250,0   S250,0   S500,0   Over S	Div   Res   Into   Ca	S S S S S S S S S S S S S S S S S S S	Ov

mark the other higher categ Prior Editions Cannot be Used.

DORR, Thomas C Reporting Individual's Name U.S. Office of Government Ethics This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. Exxon Mobil Corp --Chevron Texaco Corp (formerly held --Texaco stock; merged 10/10/01.) --Abilene Indpt School D Bond –Morgan Stanley Dean Witter -Microsoft Corp. (Held since 1995.)-Part Sale 12/20/2001. –(Held since 1989.) -Emerson Electric (Held since 1994.) -Citigroup, Inc. (Held since 1999.) -Bristol Myers Squibb (Sold 12/20/01.) –(Held since 2000.) in the trust. Melvin G. Dorr Irrevocable Trust (MGDIT) -- No beneficial interest Assets and Income BLOCK A × None (or less than \$1,001) × × × \$1,001 - \$15,000 × \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* 000,000,6 2 - 100,000,12 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund **Excepted Trust** Qualified Trust × Dividends other entry is needed in Block C for that item Income: type and amount. If "None (or less than \$201)" is checked, no Rent and Royalties Interest × Capital Gains × × None (or less than \$201) × × × × × \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C × × Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Actual Amount) 8 Date
Mo., Day,
Yr.) Only if Honoraria

5 C.F.R Part 2634 SF278 (Rev. 03/2000)

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DORR, Thomas C Reporting Individual's Name J.S. Office of Government Ethics -Vanguard GNMA Fund This category applies only if the asset/income is solely that of the filer's spouse or dependent children. -- Proctor & Gamble Co -Northern Trust Corp Schwab Value Advantage Money -Pfizer Inc. -Merrill Lynch & Co -Merck and Company Melvin G. Dorr Irrevocable Trust
(MGDIT) - No beneficial interest Market Funds --General Electric Co in the trust. Assets and Income BLOCK A None (or less than \$1,001) × × \$1,001 - \$15,000 × × \$15,001 - \$50,000 × Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) × Excepted Investment Fund If the assevincome is either that of the filer or jointly held by the filer with the spouse or dependent childr Excepted Trust Qualified Trust × other entry is needed in Block C for that item. × × × Dividends Income: type and amount. If "None (or less than \$201)" is checked, no Rent and Royaltics Interest Capital Gains × × None (or less than \$201) × × × × \$201 - \$1,000 × \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C × Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) 19 Date (Mo., Dav., Yr.) Only if Honoraria

5 C.F.R Part 2634 SF278 (Rev. 03/2000) mark the other higher categ.

Prior Editions Cannot be Used.

DORR, Thomas C Reporting Individual's Name MGDRT. Mother of filer is the only NOTE: Filer previously reported that he This category applies only if the asset/income is solely that of the filer's spouse or dependent children. beneficiary of the trusts. At her death would "enjoy future beneficial interests in the assets of MLDQTIP, MGDIT, and -Schwab Margin Acct Cash -I Shares TR S&P 500 all trust assets revert to her estate. –Zimmer Holdings -Funds --Washington Mutual Inc. –Cash Trust Series Money Market Melvin G. Dorr Irrevocable Trust (MGDIT) -- No beneficial interest in the trust. None Assets and Income BLOCK A \* × None (or less than \$1,001) × \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of BLOCK B \$250,001 - \$500,000 \$500,000 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) × Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. **Excepted Trust** Qualified Trust other entry is needed in Block C for that item. Dividends Income: type and amount. Rent and Royalties Interest Capital Gains × × None (or less than \$201) × × \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 If "None (or less than \$201)" is checked, \$5,001 - \$15,000 BLOCK C \$15,001 - \$50,000 Amount \$50,001 - \$100,000 \$100,000 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Income (Specify Type & Actual Amount) 20 Date (Mo., Day, Yr.) Only if Honoraria

5 C.F.R Part 2634

SF278 (Rev. 03/2000)

SF 278 (Rev. 03/2000)
5 C.F.R Part 2634

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name  DORR, Thomas C		SCHEDULE	В										Page 1	Numbe		21		
Part I: Transactions							None	e										
Report any purchase, sale, or exchaor dependent children during the re	inge by you, your spouse,	report a transaction involving property used solely as your personal residence, or a transaction solely between you.		ransact						- Ar	nount o	of Trans	saction	(x)				
property, stocks, bonds, commodity securities when the amount of the t \$1,000. Include transactions that re	futures, and other ransaction exceeded	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase		Exchange	Date (Mo., Day, Yr.)	000	\$15,001 - \$50,000	\$50,001 -	\$100,001 - \$250,000	),001 - ),000	\$500,001 - \$1,000,000	Over \$1,000,000*	. 100,000	\$5,000,001 - \$25,000,000	- 100,000,	Over \$50,000,000	ificate of
	Identi	ication of Assets	<u> </u>	Sale	Exc		\$1,001	\$15,	\$50,	\$100	\$250	\$500	0,1¢	\$1,0 \$5,0	\$5,0 \$25,	\$25,	Over \$50,0	Cert
Example: Central Airlines Commo	on		х			2/1/99	0, 0,	10, 0,	x	0, 0,	, , ,,	0, 0,	- "			-		
Schwab Roth IRA: Exxon M	obil Corp			Х		9-23-02	Х											
2 Schwab Roth IRA: Vanguard	GNMA Fund			Х		10/17/02		Х										
3 Schwab Roth IRA: Qualcom	유리 500 시간 사람이 보고 있는 것이 모든 것이 없는 것이 없다.		Х			11/27/2002	Х											
Schwab Roth IRA: Qualcom				Х		9/23/2002	Х											
4 Schwab Roth IRA: Qualcom	m, Inc.		X			3/20/2002	Х											
5 Oakmark Equity, Inc. J Oakmark Equity, Inc			x			7/31/2002		X										
Part II: Gifts, Reimbur for you, your spouse and dependion, and the value of: (1) gifts (1) gifts (2) travel-related cash reimburses han \$285. For conflicts analysis is personal friend, agency approuthority, etc. For travel-related ates, and the nature of expenses	dent children, report the such as tangible items, a from one source totalin ments received from or s, it is helpful to indicate val under 5 U.S.C. § 41 gifts and reimbursemer	source, a brief descrip- transportation, lodging, g more than \$285; and le source totaling more e a basis for receipt, such 11 or other statutory ts, include travel itinerary,	the Urece inde the cotal	J.S. Cived f pende lonor' value	rom ent o s res e fro	ernment; giv relatives; r f their relat sidence. Als om one sour usions.	eceive ionshi so, for	d by v ip to v purpo	your s ou; or oses o	pouse prov f aggr	or de ided a egatir	epende as pers ag gift	ent ch sonal is to c	ild to hospi letern	tally tality tine th	at ne	X	
Source (Name a				ief Des													Value	
Examples: Nat'l Assn. of Rock Col Frank Jones, San Franci		Airline ticket, hotel room & meals incident to national conference 6/15  [Leather briefcase (personal friend)	/99 (pers	onal ac	tivity	unrelated to di	rty)										\$500 \$300	
1																		
2																		
3						<u> </u>												
4																		
on ∎n and the state of the sta																		

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics

Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

	Individual's Name  Thomas C.				<b>continu</b> eeded)	ed						Page	Numb		22		
Part I	: Transactions																
			ransac Type (						Am	ount o	of Tran	sactio	1 (x)				
		Purchase	le	Exchange	Date (Mo., Day, Yr.)	\$1,001 - \$15,000	,000, 000,	,001 - 0,000	\$100,001 - \$250,000	0,001 -	0,001 -	,000,000	\$1,000,001 - \$5,000,000	- 100,000,	,000,000,	Over \$50,000,000	tificate of
	Identification of Assets Example:   Central Airlines Common		Sale	Ä		\$1,0	\$15 \$50		\$10	\$25 \$50	\$50	9,1%	\$1,0	\$5,0 \$25	\$25	\$50	S S
1	Example: Central Airlines Common	X			2/1/99			х									
2	Personal Assets of Thomas C. Dorr		F		gay and the same of agent												
	Schwab Roth IRA: Morgan Stanley Trust High Yield Fund	×			4/22/2002		х										
3	Schwab Roth IRA: Pimco Total Return Fund	l x			4/22/2002		х										
4	Schwab Roth IRA: Strong High Yield Bond Fund	x			3/15/2002	х											
5	Schwab Roth IRA: Intel Corp	x			11/27/2002												
6	Schwab Roth IRA: Wal-Mart Stores, Inc.	x			10/22/02	х											
7	Securities Corp of Iowa Simple IRA: EMC Corp Mass	x			9/26/2001	Х											
8	Securities Corp of Iowa Simple IRA: EMC Corp Mass		х		1/24/2002	X											
9	Schwab Roth IRA: Oakmark Fund	X			10/21/2002		х										
10	Schwab Roth IRA: Pfizer	x			10/21/2002												
11	Schwab Simple IRA: Vanguard GNMA Fund	x			2/4/2003	X											
12	Schwab Simple IRA: Vanguard GNMA Fund		х		10/17/2002												
13	Schwab Simple IRA: Vanguard GNMA Fund	x			6/3/2002	Х											
14	Schwab Simple IRA: American International Group, Inc.	x			11/27/2002												
15	Securities Corporation of Iowa Roth IRA: Bristol Myers Squibb Co(Note: Held since 1998.)		х		1/4/2002		х										
16	Securities Corporation of Iowa Roth IRA: Exxon		х		2/4/2002			х									

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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

	ndividual's Name	SCHED (Us			continu eeded)	ed						Page	Numb		23		-
Part I	: Transactions																
			ransact Type (						An	ount o	f Tran	saction	n (x)				
		Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	- 1001 - 5,000	\$15,001 - \$50,000	0,001 - 00,000	00,001 - 50,000	50,001 - 00,000	00,001 -	er ,000,000*	,000,000,	,000,001 - 5,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	rificate of
	Identification of Assets Example: Central Airlines Common	x x	Š	Ê	2/1/99	in in	\$ 55		\$2	\$29	\$5( \$1,	<u>Ş</u> €	\$1,	\$5,	\$2;	\$ 6	্
1	Personal Assets of Thomas C. Dorr (Cont.)	X			2/1/99			х									
2	Simple IRA: Cisco Systems, Inc. DPGFCo (408p)		х		2/4/2002	х											
3	Simple IRA: Emerson Electric, DPGFCo (408p)		x		2/4/2002	x											
4	Roth IRA: Adams Express Co.		x		2/4/2002	Х											
5	Roth IRA: Cisco Systems		x		2/4/2002	Х											
6	Roth IRA: LSI Logic		х		1/4/2002	Х											
7	Roth IRA: Merck & Co.		х		2/4/2002	Х											
8	Roth IRA: Merrill Lynch & Co.		х		2/4/2002	х											
9	Roth IRA: Motorola Inc.		х		2/4/2002	х											
10	Roth IRA: Sun Microsystems (Less than \$1,000.)		х		2/4/2002												
11	Roth IRA: Tyco Intl Ltd New	x			11/30/2001	х											
13	Roth IRA: Tyco Intl Ltd New		х		1/24/2002	х											
	Simple IRA: General Electric, DPGFCo (408p)	x			11/26/2001	х											
14	Simple IRA: General Electric, DPGFCo (408p)		х		2/4/2002	х											
15	Securities Corp. of Iowa Roth IRA: Zimmer Holdings(No reportable gain.)		х		1/4/2002	х											
16 This categ	Securities Corp. of Iowa Roth IRA: Merck & Co. (No gai	in.)	v		2/4/2002	v											

Reporting Individual's Name Page Number **SCHEDULE B continued** 24 DORR, Thomas C. (Use only if needed) **Part I: Transactions** Transaction Amount of Transaction (x) Type (x) Date (Mo., \$25,000,001 \$50,000,000 \$1,001 -\$15,000 -\$50,000 -\$50,000 -\$100,001 -\$100,001 -\$250,000 -\$250,000 -\$250,000 -\$500,000 -\$500,000 -\$1,000,000 -\$1,000,000 -\$1,000,000 -\$1,000,000 -\$1,000,000 -\$1,000,000 -\$5,000,000 -Exchange Day, Yr.) Purchase Sale Identification of Assets Example: Central Airlines Common 2/1/99 2 **Interests of Spouse** 3 Roth IRA: Exxon Mobil Corp X 9/23/2002 4 Roth IRA: Adams Express Co X 2/4/2002 X 5 Roth IRA: Cisco Systems, Inc. X 2/4/2002 Х 6 Roth IRA: Conextant System, Inc. X 1/4/2002 Х 7 Roth IRA: EMC Corp Mass X 1/4/2002 8 Roth IRA: Fannie Mae 3/12/2001 9 9/26/2001 X Roth IRA: General Electric 11/30/2001 X 10 Roth IRA: General Electric 2/4/2002 11 Roth IRA: Illinois Tool Works Х 9/26/2001 X 12 Roth IRA: Illinois Tool Works X 2/4/2002 13 Roth IRA: LSI Logic Corp X 2/4/2002 X 14 Roth IRA: Merck & Co 2/4/2002 X 15 Roth IRA: Morgan Stanley DW X 2/4/2002 X 16 Roth IRA: Sun Microsystems (Sale of less than \$1,000.) 2/4/2002

<sup>\*</sup> This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

U.S. Office of Government Ethics Reporting Individual's Name Page Number **SCHEDULE B continued** 25 DORR, Thomas C. (Use only if needed) Part I: Transactions Transaction Amount of Transaction (x) Type (x) Date (Mo., \$15,001 - \$15,000 - \$15,000 - \$15,000 - \$15,000 - \$50,000 - \$100,001 - \$250,000 - \$250,000 - \$250,000 - \$1,00 \$5,000,001 -\$25,000,000 \$25,000,001 \$50,000,000 \$1,000,001 \$50,000,000 Exchange Day, Yr.) Purchase Sale Identification of Assets Example: Central Airlines Common 2/1/99 1 Interests of Spouse (cont.) 2 Roth IRA: Texas Instruments X 2/4/2002 Х 3 Schwab Roth IRA: Pimco Total Return Х 4/22/2002 4 Schwab Roth IRA: Strong High Yield Bond Fund Х 3/15/2002 5 Schwab Roth IRA: Vanguard GNMA Fund Х 2/4/2003 Schwab Roth IRA: Vanguard GNMA Fund (all sold) X 10/17/2002 X Schwab Roth IRA: Vanguard GNMA Fund Х 4/23/2002 X 7 Schwab Roth IRA: Vanguard Health Care Fund Х 10/17/2002 X 8 3/15/2002 Schwab Roth IRA: Oakmark Fund Х 4/22/2002 X 9 Schwab Roth IRA: Microsoft Corp X Х 11/27/2002 Schwab Roth IRA: Microsoft Corp (all sold) 10 Х 9/23/2002 X Schwab Roth IRA: Microsoft Corp. Х 3/26/2002 Х 11 Melvin G. Dorr Irrevocable Family Trust (MGDIFT) Morgan Stanley DW & Co 12 X 9/23/2002 X Morgan Stanley DW & Co 1/4/02 Χ 13 Citigroup, Inc. 1/4/2002 Х General Electric Co. X 1/4/2002 Х 14 Home Depot, Inc. X 1/4/2002 X Medtronic, Inc. X Χ 1/4/2002 15 Merrill Lynch & Co. X 1/4/2002 X Millenium Pharms Х 1/4/2002 X Wal-Mart Stores 1/4/2002 \* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held

by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used

U.S. Office of Government Ethics Reporting Individual's Name Page Number **SCHEDULE B continued** 26 DORR, Thomas C. (Use only if needed) Part I: Transactions Transaction Amount of Transaction (x) Type (x) Date (Mo., \$100,001 - \$250,000 - \$250,000 - \$250,000 - \$500,000 - \$500,000 - \$1,000,000 - \$1,000,000 - \$1,000,000 - \$5,000,000 - \$5,000,000 - \$25,000,000 Exchange Day, Yr.) \$50,001 -\$100,000 Sale Identification of Assets Example: Central Airlines Common 2/1/99 1 Melvin G. Dorr Irrevocable Family Trust 2 Exxon Mobil Corp X 12/20/2001 X 3 Merck & Co. X 12/20/2001 X 4 Citigroup 1/4/2002 X 5 General Electric Co Х 1/4/2002 6 Home Depot, Inc X 1/4/2002 X 7 Medtronic, Inc. 1/4/2002 Х 8 Merrill Lynch & Co Х 1/4/2002 Х 9 Millenium Pharms Х 1/4/2002 10 Wal-Mart Stores, Inc Х 1/4/2002 Х 11 12 13 Interests of Spouse 14 Schwab Roth IRA: Johnson & Johnson Х 10/22/2002 X 15 Schwab Roth IRA: Johnson & Johnson (all sold) Х 9/23/2002 X 16 Schwab Roth IRA: Johnson & Johnson 3/26/02 \* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held

by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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DORR, Thomas C.	SCHED (Us			continu eeded)	ed						Page	Numb		27		
Part I: Transactions																
		ransac Type (						Am	ount c	f Tran	saction	1 (x)				
Hostification o	irchase		Exchange	Date (Mo., Day, Yr.)	\$1,001 - \$15,000	15,001 - 50,000	50,001 -	100,001 - 250,000	250,001 - 300,000	.000,000,	/er ,000,000*	,000,000,	5,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	ertificate of
Identification o Example: Central Airlines Common	r Assets x	S		2/1/99	8 8	\$ 55	x	\$2	\$2	\$5	Ó₽	\$ 55	\$2	\$2 \$5	Ó ₩	ŏ
Dorr's Pine Grove Farm Co																
Vanguard GNMA Fund 3 Vanguard GNMA Fund (all sold)	x			2/4/2003	•	Х										
Vanguard GNMA Fund	X	Х		10/17/2002 6/20/2002		X		k: 8								
Amgen, Inc	x			11/27/2002	х											
Electronic Data Systems Corp.	x			11/25/02	х											
Genentech, Inc., New	x			11/27/02	х											
7 Exxon Mobil Corp		х		2/4/2002		Х										
Isis Pharmaceuticals		х		2/4/2002	х											
Microsoft Corp		х		2/4/2002		х										
Morgan Stanley DW		х		2/4/2002		х										
11 Texas Instruments		х		2/4/2002	х											
12																
13																
14																
15																
16																

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DORR, Th	omas C.	SCHED (Us		B contin	ıue	ed						Page	Numb		28		
Part I:	Transactions																
			ransac Type						An	nount	of Trar	saction	n (x)				
		Purchase	9	Date (Me	\ \ \	,000	,001 - ,000	\$50,001 - \$100,000	0,000	0,001 -	\$500,001 - \$1,000,000	r 000,000*	000,000	- 100,000,	,000,000,	Over \$50,000,000	tificate of
	Identification of Assets	Pa	Sale	Ř		\$1,0 \$15	\$15 \$50	\$50 \$10	\$10 \$25	\$25 \$50	\$50	0,1%	\$1,0 \$5,0	\$5,0 \$25	\$25 \$50	Ove \$50	Cert
1	Example: Central Airlines Common	X		2/1/99				x									
	Miscellaneous Entries																
2	Securities Corp of Iowa Roth IRA: Johnson & Joh	nson	Х	2/4/200	2	Х											
•		X		3/26/20	02	Х											
3			X	9/23/200	02	Х											
4	Securities Corp of Iowa Roth IRA: Microsoft Corp	x	Х	2/4/200 3/26/200		х	Х										
5		^	Х	9/23/200		x											
6	Dorr, Inc American Intl Group	x		5/21/200	)1		x										
7																	
8	Dorr, Inc Adams Express Co	X		4/30/200	)1		Х										
9																	
10 J	Schwab One Margin Acct Vanguard GNMA	x		4/23/200	)2			х									
11 J	Schwab One Margin Acct Vanguard GNMA		х	9/23/200				X									
12 S	Schwab Roth IRA: Sysco Corp	x		10/21/20		х											
13 S	Schwab Roth IRA: Northeast Investors Trust	X		10/21/20	02	х											
14 S	Schwab Roth IRA: Citigroup, Inc.	X		11/27/0	2	х						3					
15 S	Schwab Roth IRA: Citigroup, Inc. (all sold)		х	9/23/200	2	х											
	Schwab Roth IRA: Citigroup, Inc.	x		4/22/200	2	х											

<sup>\*</sup> This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000)

5 C.F.R Part 2634

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																Transactions	I ing
				(x)	noitos	Trans	jo june	omA						ansact ype (x			
\$50,000,000 Certificate of	Over	\$25,000,001 - \$50,000,000	\$5,000,001 - \$25,000,000	\$1,000,001 - \$5,000,000	Over \$1,000,000*	\$500,001 - \$1,000,000	\$250,001 - \$500,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$1,001 - \$15,000	Date (Mo. , Day, Yr.)	Exchange	Sale	Purchase	Identification of Assets	
									х			66/1/7			x	Example: Central Airlines Common litems on 2001 New Entrant that do not appear on draft 2003 report	L
						6						alan Marke				Items on 2001 Mew Entrant that do not appear on draft 2003 report and are not shown as sold or otherwise divested	2
										Х		1/4/2002		X		Roth IRA: Bristol Myers Squibb	S E
																Roth IRA: McLeod USA Class A New (Not sold. Value under \$1,000.)	<b>b</b>
												7300/0/77		<u> </u> ^		Roth IRA: McLeod USA Class AXXX (Not sold. Value under \$1,000.) Roth IRA: Enron Corp Oregon	S
											х	11/9/2001		x			
											x	1002/62/9		X		Roth IRA: Honeywell International Inc. Roth IRA: Honeywell International Inc.	S 9
											X	1002/62/9		X		Roth IRA: McLeod USA Class A New (Not sold. Value under \$1,000.) Roth IRA: McLeod USA Class AXXX (Not sold. Value under \$1,000.)	1
																	8
																	6
											х	12/19/2001		x		MGDIFT: Cisco Systems, Inc.	10
											x	12/19/2001		x		MGDIFT: EMC Corp Mass	11
											x	1002/9/11		x		MGDIFT: Enron Corp Oregon	12
											x	12/19/2001		x		MGDIFT: Nortel Networks Corp	13
																	ÞΙ
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																	16

Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting In	nomas C.				continu	ed						Page	Numb		30		
Part I:	Transactions																
			ransa Type	ection (x)					Aı	nount	of Tran	saction	1 (x)				
		Purchase		9000	Date (Mo., Day, Yr.)	. 100 000 000	- 100,	\$50,001 - \$100,000	0,001 -	0,001 -	0,001 -	ır 300,000*	. 100,000	- 100,000,	,000,000,	ar ,000,000,	Certificate ् divestiture
	Identification of Assets		Sale	5		\$1,0 \$15	\$15	\$50 \$10	\$10	\$25 \$50	\$50 \$1,0	0 Ve	\$1,0 \$5,0	\$5,0 \$25	\$25 \$50	0ve \$50	G Š
	Example: Central Airlines Common	x			2/1/99			х									
1	Interests of Spouse in Securities Corp of Iowa Roth	IRAs:															
2	Bristol Myers Squibb Co (all sold)		X		1/4/2002		Х										
3																	
4	EMC Corp Mass EMC Corp Mass	l <sub>x</sub>	X		1/4/2002 9/26/2001	X											
5				1													
6	Exxon Mobil Corp		X		2/4/2002		Х										
7																	
8																	
9																	
10	Microsoft Corp		X		2/4/2002		Х										
11																	
12																	
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14																	
15																	
16																	
* This cate	gory applies only if the underlying asset is solely that of the filer	s snouse or dependent ch	ldrat	Ifth	a underlying	ecot is	e eithe	r hold						1			_

by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

DORR, The	lividual's Name omas C.					continu eeded)	ed						Page	Numb		31		
Part I:	Transactions																	
				nsacti ype (x						An	ount o	f Tran	sactio	n (x)				
	Identification of Assets		Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	5,000	5,001 -	\$50,001 - \$100,000	50,000	50,001 -	.000,000,	ver ,000,000*	,000,000,	5,000,001 -	\$25,000,001 - \$50,000,000	Over \$50,000,000	ertificate of
	Example:   Central Airlines Common		$\frac{1}{x}$			2/1/99	in in	50 50	53.53	20 20	23 23	69 69	0 6	સ્ત્રે સ્ત્રે	<del>8</del> 8	8 8	Ó #	ļŏ
1	Spouse IRAs:													1 1 100				
2	Schwab Roth: American International Group			х		9/23/2002	х											
3	Schwab Roth: American International Group		X			4/22/02	Х											
4	Schwab Roth: Amgen, Inc.			х		9/23/2002	х											
5	Schwab Roth: Amgen, Inc.		<b>X</b>			4/22/02	Х											
6	Sec. Corp. of Iowa Roth: Citigroup, Inc.(all sold)			x		2/4/2002		Х										
7	Sec. Corp. of Iowa Roth: Citigroup, Inc.		١			1/19/00 1/31/00	X											
8	Schwab Roth: Genentech, Inc New			х		9/23/2002	Х											
9	Schwab Roth: Genentech, Inc New		(			4/22/02	Х											
10	Schwab Roth: Pfizer, Inc.			x		9/23/2002	Х											
	Schwab Roth: Pfizer, Inc.		(			4/22/02	Х											
12	Schwab Roth: Washington Mutual			x		9/23/2002	х											
	Schwab Roth: Washington Mutual	X				4/22/02	Х											
14																		
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SF 278 (Rev. 03/2000)

5 C.F.R Part 2634 U.S. Office of Government Ethi

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\$25,000,001 -	5,000,001 - 25,000,000	1,000,001 - 5,000,000	)ver 1,000,000*	500,001 - 1,000,000	500,000 -	250,000	50,001 - 100,000	15,001 - 50,000	11,001 - 15,000	Date (Mo., Day, Yr.)				Identification of Assets	
	(A (A	4A 4A	<b>49</b> O	4A 4A	4A 4A	4A 4A	X 49 49	48 48	60 60	66/1/7			x	Example: Central Airlines Common	
		(S. 4.4 - 76	·					at to the						Melvin G. Dorr Residual Trust (MGDRT)	l L
								Х		9/23/2002		X		Adams Express Co. (partial sale) (No gain on sale.)	3
									Х	12/19/2001		X		Agilent Technology	· ·
									Х			X		Applera Corp Com Celera Gen	
								x	2	13/20/2001		^	x		g
								V	Х	8/16/2002		-	Х	Home Depot	9
								X	Х	12/20/2001		X		General Electric (Gain on this sale.)	L
								X		12/24/2001			X	General Electric	•
								x	X			l <sub>x</sub>	×	General Electric (No gain on sale.) Oakmark Equity Inc	8
									X	7331/2002		Ļ	X	Oakmark Fund	6
															10
										L007/6L/7L		Y			ш
						97	+ x	X				X		Hewlett Packard	12
							X						x	nosndol & nosndol	13
									X	9/23/2002		X		Lufkin Industries	
									X	9/23/2002		×		Nokia Corp	ÞΙ
							^	Х		10/17/2002	•	x			12
							-	x	×	1/4/2002		ļ.,	X	Pfizer, Inc. SDC Communications	91
9500000	\$25,000,001 -	\$5,000,001 - \$25,000,000 \$25,000,001 -	• [				2 8 7 8 7 9 8 8 8 8	X X X X X X X X X X X X X X X X X X X	X X X X X X X X X X X X X X X X X X X	X X X X X X X X X X X X X X X X X X X	Date (Mo., Day, Yr.)  Day, Yr.)  Date (Mo., Day, Yr.)  Day, Yr.)  Date (Mo., Day, Yr.)	1000,000,000,000,000,000,000,000,000,00	1000000   100	X	Patentine Composing Comp

by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate. \* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held X 12/2/2002 --Merck & Co (part sale) ۹٤ X 12/2/2002 5/21/2001 -- Shares TR S&P 500 (No gain on sale.) 12 X 1/8/2002 1002/9/8 --Zimmer Holdings (Purchase less than \$1,000.) 14 1/24/02 X 5/21/2001 --Tyco Intl Ltd New (No gain on sale.) 13 X 2.4.2002 X 1/4/2002 --American International Group Inc (No gain on sale.) 12 --No reportable gain on sale. 2/15/2002 X -Wylie TX Indpt School Bond (Held since 1992.) 11 12/19/2001 10 5/21/2001 X 1/30/2001 Sun Microsystems (No gain from sale.) 6 12/19/2001 --Nortel Networks Corp Holdings (Held since 1999; no gain from 8 X 12/24/2001 X 12/20/2001 X -Microsoft Corp. (Part sale) L X 1/31/2001 -- Emerson Electric (Held since 1994.) 9 X 1002/61/21 X -- EMC Corp Mass (No gain on sale.) 9 12/19/2001 X X -Cisco Systems (Held since 1999.) (No gain on sale.) Þ X 12/20/2001 -Bristol Myers Squibb (Held since 1992.) 3 12/19/2001 X 1/30/2001 -Adams Express Company (No gain on sale.) 7 Melvin G. Dorr Irrevocable Trust (MGDIT) L 66/1/7 X Example: Central Airlines Common \$15,001 - \$50,000 - \$50,001 - \$250,000 - \$250,001 - \$1,000,000 - \$1,000,000 - \$5,000,000 - \$5,000,000 - \$5,000,000 - \$5,000,000 - \$5,000,000 - \$55,000,000 -Sale Purchase Identification of Assets Day, Yr.) Date (Mo., Type (x) Amount of Transaction (x) Transaction Part I: Transactions (Use only if needed) DORR, Thomas C. SCHEDULE B continued 33 Page Number Reporting Individual's Name U.S. Office of Government Ethics

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634

5 payment by a former employer (including severance payments); (3) leaves employee benefit plan (e.g. 401k, deferred compensation; (2) continuation Report your agreements or arrangements for: continuing participation in ar Part II: Agreements or Arrangements or dependent children. Check the highest amount owed U.S. Office of Government Ethics with the spouse or dependent children, mark the other higher categories, as appropriate. Examples: any time during the reporting period by you, your spouse, Report liabilities over \$10,000 owed to any one creditor at DORR, Thomas C during the reporting period. Exclude a mortgage on your Part I: Liabilities Reporting Individual's Name Example: This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer SIMPLE (Savings Incentive Match Plan for Employees) IRA under Sec. 408(p). Company will make no further contributions. PGF Seeds, Inc., Marcus, Iowa (Thomas C. Dorr) (Thomas C. Dorr) Dorr's Pine Grove Farm Co., Marcus, Iowa Dorr's Pine Grove Farm Co., Marcus, Iowa (Thomas C. Dorr) (Thomas C. Dorr) (Thomas C. Dorr) Dorr's Pine Grove Farm Co., Marcus, Iowa Dorr's Pine Grove Farm Co., Marcus, Iowa First District Bank, Washington, DC John Jones, 123 J St., Washington, DC Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. Creditors (Name and Address) Status and Terms of any Agreement or Arrangement Mortgage on rental property, Delaware \_\_\_\_\_Promissory note Mortgage on farmland Mortgage on partnership - paid 4/2002 by automobiles, household furniture or appliances; and Various Operating Notes Mortgage on farmland Mortgage on farmland See instructions for revolving charge accounts. personal residence unless it is rented out; loans secured liabilities owed to certain relatives listed in instructions Type of Liability SCHEDULE i of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits Incurred 1991 0 1995 Date 1995 1992 1996 995 5.00% 5.50% 6.00% 6.00% 6.00% Interest Rate 5 8 8 8 None Demand On 25 yrs. on demand Demand Demand Demand Demand 9 Term if applicable 9 9 의 Dorr's Pine Grove Farm Co. Doe Jones & Smith, Hometown, State × × \$15,000 \$15,001 -× \$50,000 \$50,001 -\$100,000 Parties \$100,001 Category of Amount or Value (x) × \$250,000 \$250,001 \$500,000 \$500,001 -\$1,000,000 None Over Page Number \$1,000,000 \$1,000,001 \$5,000,000 \$5,000,001 32 \$25,000,000 \$25,000,001 10/1978 \$50,000,000 Date 7/85 \$50,000,000

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name Page Number **SCHEDULE C** DORR, Thomas C 35 Part I: Liabilities Report liabilities over \$10,000 owed to any one creditor at personal residence unless it is rented out; loans secured None Category of Amount or Value (x) any time during the reporting period by you, your spouse, by automobiles, household furniture or appliances; and or dependent children. Check the highest amount owed liabilities owed to certain relatives listed in instructions. during the reporting period. Exclude a mortgage on your See instructions for revolving charge accounts. Date Interest Term if Incurred Rate appli-550,001 cable Creditors (Name and Address) Type of Liability First District Bank, Washington, DC 1991 1999 Mortgage on rental property, Delaware 25 yrs. 8% Examples: John Jones, 123 J St., Washington, DC Promissory note 10 % on demand х (Thomas C. Dorr) On Dorr's Pine Grove Farm Co., Marcus, Iowa Mortgate on farmland 1997 6.00% Demand X (Thomas C. Dorr) On PGF Seeds, Inc., Marcus, Iowa Operating note - paid 3/2002 2000 9.25% Demand X (Thomas C. Dorr) On PGF Seeds, Inc., Marcus, Iowa Mortgate on farmland - paid 4/2002 1993 6.00% Demand X (Dorr's Pine Grove Farm Co.) Farmers State Bank, Marcus, Iowa Farm equipment note 1998 variable 7 yrs X (Dorr's Pine Grove Farm Co.) Farmers State Bank, Marcus, Iowa Operating note 2001 8 mos This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. Part II: Agreements or Arrangements Report your agreements or arrangements for: continuing participation in an of absence; and (4) future employment. See instructions regarding the reporting employee benefit plan (e.g. 401k, deferred compensation; (2) continuation of negotiations for any of these arrangements or benefits payment by a former employer (including severance payments); (3) leaves None Status and Terms of any Agreement or Arrangement **Parties** Date Example: Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share 7/85 Doe Jones & Smith, Hometown, State calculated on service performed through 1/00.

Reporting Individual's Name												Page N	Number			_
DORR, Thomas C		SCHEDU	LE C						,					36		
Part I: Liabilities																
Report liabilities over \$10,000 owed to any time during the reporting period b		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	one				Categ	ory of A	Amount	or Val	lue (x)			
or dependent children. Check the high during the reporting period. Exclude a		liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term if applicable	\$10,001 -	- 001	\$50,001 -	\$100,001 -	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001	\$5,000,001 -	\$25,000,001 \$50,000,000	000.000
Creditors (Name a	nd Address)	Type of Liability			Cable	10,	15,	18 5 18 5	100	25 25	500,1	Over \$1,00	0,1,0	5,0	25,	Ş 2
Examples: First District Bank, Washing	ton, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.	10, 0,	1	1 x	1 0, 0,	97 67	1 0	1 "	10, 0,	0, 67		
John Jones, 123 J St., Washi	ngton, DC	Promissory note	1999	10 %	on demar	d	1			$\overline{x}$						T
(Dorr's Pine Grove Farm Co.)     Farmers State Bank, Marcus, Id.	owa	Operating Note	2002	6.75%	6 mos		x									
2 (Dorr's Pine Grove Farm Co.)							1									
Farmers State Bank, Marcus, Id	owa	Operating Note - paid 2/2002	2001	5.75%	3 mos			X								
3 (Dorr Swine, LLC)																
Northwestern State Bank, Orar (Dorr's North Pork, LLC)	ige City, Iowa	Mortgage on hog building - paid 4/2002	1993	7.125%	10 yrs			X					·			
Northwestern State Bank, Oran	ago City Jours	Madagas as bas ball the	4005		1.0				١.,							
5	ige City, Iowa	Mortgage on hog building	1995	7.75%	10 yrs				X							_
o de la companya de																
Part II: Agreements or Report your agreements or arranger employee benefit plan (e.g. 401k, d payment by a former employer (inc	Arrangements ments for: continuing leferred compensation	participation in an	of absen	ce; and (4 ations fo	4) future or any of the	mployi nese arr	ment. anger	See in ments	nstruc or bei	tions inefits		ling th	ne rep	orting	,	
		ny Agreement or Arrangement							Parties	3					D	ate
Example: Pursuant to partnership agree calculated on service performance.	eement, will receive lump s med through 1/00.	sum payment of capital account & partnership share			De	e Jones	& Smit	th, Hom	etown,	State					7/	85
2																
3																
4																
5																
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Reporting Individual's Name												Page N	umber			
DORR, Thomas C		SCHEDU	LE C											37		
Part I: Liabilities Report liabilities over \$10,000 any time during the reporting		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	one				Categ	ory of A	Amount	or Val	ue (x)			
or dependent children. Check during the reporting period. Ex	the highest amount owed	liabilities owed to certain relatives listed in instructions.  See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term is applicable	\$10,001 -	\$15,001 -	- 100	- 1000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	- 100,000	\$25,000,001 - \$50,000,000	000 000
Creditors	(Name and Address)	Type of Liability			Cable	\$10,	\$15,	\$50,	\$100	\$250 \$500	\$500	Over \$1,00	\$1,0 \$5,0	\$5,0 \$25,	\$25, \$50,	Ove
Examples: First District Bank,		Mortgage on rental property, Delaware	1991	8%	25 yrs.			X								
John Jones, 123 J St	t., Washington, DC	Promissory note	1999	10 %	on demar	nd				х						
1 (Thomas C. Dorr) Farmers State Bank, Ma	arcus, Iowa	Operating Notes - paid 2/2002	2002	5.75%	6 mos		x									
2 (Thomas C. Dorr) Cherokee State Bank, C	Cherokee, Iowa	Mortgage on farmland - paid 4/2002	1997	7.75%	10 yrs			x								
<ul><li>3 (Thomas C. Dorr)</li><li>Farmers State Bank, Ma</li></ul>	arcus, Iowa	Operating Notes	2002	6.00%	6 mos		x									
4 (Thomas C. Dorr) Farmers State Bank, Ma	arcus, Iowa	Mortgage on farmland	2002	6.00%	3 yrs				x							
5 (Thomas C. Dorr) Dorr's Pine Grove Farm	Co. Marcus, Iowa	Mortgage on farmland	1990	6.00%	On	d	×		-							
* This category applies only if	the liability is solely that of the children, mark the other higher	e filer's spouse or dependent children. If the liability is that of	the filer or	a joint lia	bility of th	e filer	1 //		*							
Part II: Agreement																
Report your agreements or a employee benefit plan (e.g. payment by a former employ	arrangements for: continuing 401k, deferred compensation	participation in an n: (2) continuation	of absendof negoti									ing th	е гер	orting	, ,	
		ny Agreement or Arrangement							Parties	3					D:	ate
Example: Pursuant to partner calculated on serving	rship agreement, will receive lump s ce performed through 1/00.	sum payment of capital account & partnership share			D	oe Jones	& Smit	h, Hon	netown,	State					7/3	85
2																
3																
4																
5																
6																
																1000

Reporting	g Individual's Name		V		Page Number	
DORR,	Thomas C		SCHEDULE D		38	8
Report a compens	my positions held during the sated or not. Positions include	tside U.S. Government applicable reporting period, whether te but are not limited to those of an officer, prietor, representative, employee, or		tnership, or other business enterprise or any nstitution. Exclude positions with religious it those solely of an honorary nature.		
	Orga	nization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Example	Doe Jones & Smith, Hometon	wn, State	Non-profit education Law firm	President Partner	7/85	- <u>Present</u>
1 Dorr	r's North Pork, LLC, Marcu	s, Iowa	Hog confinement operation	General Manager	7/1995	9/2002
2 PGF	Seeds, Inc., Marcus, low	a	Licensed grain warehouse facility	President	3/1980	5/2002
3 Dorr	's Pine Grove Farm Co., N	farcus, Iowa	Custom farm production	President	10/1978	8/2002
4 Dorr	, Inc., Marcus, Iowa		Family Farm Corporation	Director	8/1992	2/2002
5 Dorr	Swine, LLC, Marcus, Iowa	a	Hog confinement operation	General Manager	7/1993	4/2002
6 Melv	rin G. Dorr Irrevocable Far	mily Trust, Marcus, Iowa	Trust	Trustee	12/1976	8/2002
Report so	ources of more than \$5,000 co affiliation for services provide ting period. This includes the	Excess Of \$5,000 Paid by One Someone service of service and customers of any	corporation, firm, partnership, or other b	business enterprise, or any other non-profit it the services generating a fee or payment ort the U.S. Government as a source.	if you are an Termination Vice Preside	Filer, or
	Source (Name and Address)  Doe Jones & Smith, Hometow	- Class		Brief Description of Duties		
Examples:	Metro University (client of Do	be Jones & Smith), Moneytown, State	Legal services  Legal services in connection with university	y construction		
2						
3						-
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6			<del> </del>			
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Reporting Individual's Name DORR, Thomas C		SCHEDULE D		Page Number	9
Part I: Positions Held Outside Report any positions held during the applical compensated or not. Positions include but an director, trustee, general partner, proprietor,	ble reporting period, whether re not limited to those of an officer,	non-profit organization or educationa	artnership, or other business enterprise or any linstitution. Exclude positions with religious and those solely of an honorary nature.		
Organization	(Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors, NY, N Doe Jones & Smith, Hometown, State		Non-profit education Law firm	President Partner		Present 1/00
Melvin G. Dorr Irrevocable Trust, Ma	rcus, Iowa	Trust	Trustee	12/1976	8/2002
2 Melvin G. Dorr Residual Trust, Marcu	us, Iowa	Trust	Trustee	11/2000	8/2002
3 Margaret L. Dorr Qualified Terminabl Deduction Trust	e Interest Property Marital	Trust	Trustee	11/2000	8/2002
4 Diamond D Bar, Ltd, Marcus, Iowa		Farmily Farm Corporation	Consultant	1/1998	2/2002
5 Melvin G. Dorr Estate, Marcus, Iowa		Estate	Executor	19/1998	Present
6					
Part II: Compensation In Excest Report sources of more than \$5,000 compenses business affiliation for services provided direct the reporting period. This includes the names	ation received by you or your ctly by you during any one year of	corporation, firm, partnership, or othe organization when you directly provide	er business enterprise, or any other non-profit led the services generating a fee or payment eport the U.S. Government as a source.	if you are an Termination Vice Preside	Filer, or ential tial Candidate
Source (Name and Address)			Brief Description of Duties		
Examples: Doe Jones & Smith, Hometown, State  Metro University (client of Doe Jones		Legal services Legal services in connection with unive	rsity construction		
2					
3					
4					
5					
6					